



Receipt

Patent
Attorney's Docket No. 002010-593

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#8

In re Patent Application of

Wu, et al.

Application No.: 09/915,263

Filed: July 27, 2001

For: CYCLOALKYL, LACTAM AND
LACTONE AND RELATED
COMPOUNDS,
PHARMACEUTICAL
COMPOSITIONS COMPRISING
SAME, AND METHODS FOR
INHIBITING β -AMYLOID PEPTIDE
RELEASE AND/OR ITS SYNTHESIS
BY USE OF SUCH COMPOUNDS

Group Art Unit: 1624

Examiner: Not yet assigned

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Washington, D.C. 20231

Sir:

Enclosed is a copy of the acknowledgment postcard showing the date stamped by the U.S. Patent and Trademark Office as July 27, 2001, as the date the application was received. This application was filed by hand-delivery and not through Express Mail. The Filing Receipt shows July 26, 2001.

The correct filing date is July 27, 2001.


Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,
Burns, Doane, Swecker & Mathis, L.L.P.

Date: January 14, 2002

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650)622-2300

By:


Lawrence S. Squires
Registration No. 24,060

Inventor: Jing Wu, et al. Appln. No. Unassigned (Div of 08/996,422)

Docket No.: 002010-593 Work Atty: GFS/LSS Date: July 27, 2001

Title: CYCLOALKYL, LACTAM, LACTONE AND RELATED COMPOUNDS, PHARMACEUTICAL COMPOSITIONS
COMPRISING THE SAME, AND METHODS FOR INHIBITING B-AMYLOID PEPTIDE RELEASE AND/OR...

The following were received in the U.S. Patent and Trademark Office on the date stamped herein:

- ☐ Utility Patent Application Transmittal
- ☐ Design Patent Application Transmittal
- ☐ Continuing Prosecution Application Request
- ☐ Provisional Application Cover Sheet
- ☐ Provisional Application Transmittal
- ☒ Continuation/Divisional Application (Rule 1.53(b)) with copy of application (4 pgs.)
- ☐ Request for Continued Examination

INCLUDING:

- ☒ Specification (pages 1 - 740.)
- ☒ Claims (claim(s) 1 - 90, 147 pgs.)
- ☐ Drawings (Fig(s). 1 - _ pgs.)
- ☒ Abstract of the Disclosure (1 pg.)

Pouched to VA 7/26/01

- ☒ Copy of Executed Declaration/Power of Attorney from parent application (5 pgs.)
- ☐ Unexecuted Declaration/Power of Attorney
- ☐ Assignment/Assignment Recordation Form Cover Sheet (PTO-1595)
- ☐ Claim for Convention Priority w/ certified copy(s)
- ☒ Preliminary Amendment (59 pgs.)
- ☐ Information Discl. Statement Transmittal Letter
- ☒ Information Disclosure Citation (PTO-1449) (5 pgs.)
- ☒ Information Disclosure Statement (7 pgs.)
- ☐ Petition for _ Month Extension of Time
- ☐ Constructive Petition for Extensions of Time
- ☒ Patent Application Data Sheet (8 pgs.)

- ☒ Check for \$2372.00 is enclosed
- ☐ Check for \$ _ is enclosed
- ☐ Charge \$ _ to Deposit Account
- ☒ Acknowledgment Postcard



(10/00)

67



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/915,263	07/26/2001	1624	2372	002010-593		27	6

CONFIRMATION NO. 7971

21839
BURNS DOANE SWECKER & MATHIS L L P
POST OFFICE BOX 1404
ALEXANDRIA, VA 22313-1404

FILING RECEIPT



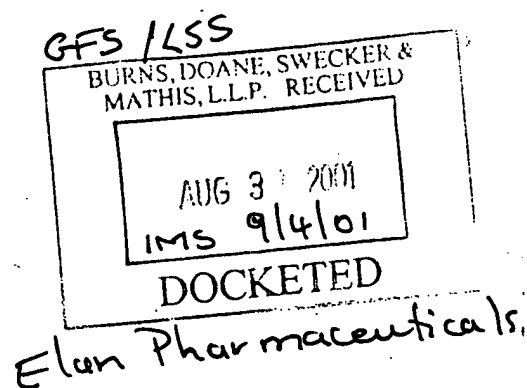
OC000000006493348

Date Mailed: 08/29/2001

Receipt acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jing Wu, San Mateo, CA;
Jay S. Tung, Belmont, CA;
Eugene D. Thorsett, Moss Beach, CA;
Michael A. Pleiss, Sunnyvale, CA;
Jeffrey S. Nissen, Indianapolis, IN;
Jeffrey Neitz, San Francisco, CA;
Lee H. Latimer, Oakland, CA;
Varghese John, San Francisco, CA;
Stephen Freedman, Walnut Creek, CA;
Thomas C. Britton, Carmel, IN;
James E. Audia, Indianapolis, IN;
Jon K. Reel, Carmel, IN;
Thomas E. Mabry, Indianapolis, IN;
Bruce A. Dressman, Indianapolis, IN;
Cynthia L. Cwi, Indianapolis, IN;
James J. Droste, Indianapolis, IN;
Steven S. Henry, New Palestine, IN;
Stacey L. McDaniel, Bloomington, IN;
William Leonard Scott, Indianapolis, IN;
Russell D. Stucky, Indianapolis, IN;
Warren J. Porter, Indianapolis, IN;



Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 08/996,422 12/22/1997
WHICH CLAIMS BENEFIT OF 60/064,851 12/23/1996 ABN

Foreign Applications

If Required, Foreign Filing License Granted 08/29/2001

Projected Publication Date: 12/06/2001

Non-Publication Request: No

Early Publication Request: No

Title

Cycloalkyl, lactam, lactone and related compounds, pharmaceutical compositions comprising same, and methods for inhibiting beta-amyloid peptide release and/or its synthesis by use of such compounds

Preliminary Class

540

Data entry by : ZEWDIE, HULEMENAYE

Team : OIPE

Date: 08/29/2001



LICENSE FOR FOREIGN FILING UNDER
Titl 35, United Stat s Code, Secti n 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

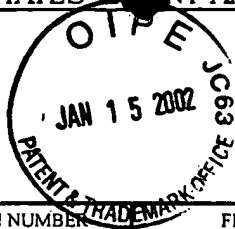
Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

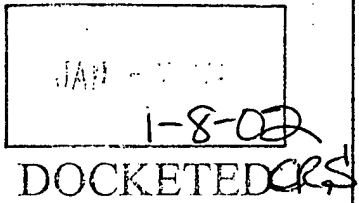
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov



APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09915263	07/26/01	Jing Wu	002010-593

BURNS DOANE SWECKER & MATHIS LLP
POST OFFICE BOX 1404
ALEXANDRIA, VA 22313-1404

BURNS DOANE SWECKER &
MATHIS, L.L.P. RECEIVED



Date Mailed: 01/03/02

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Application Filing Date


In response to your request for a corrected Filing Receipt, the Office can not comply with your request because:

- ☒ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a petition to accord a filing date should be submitted along with a copy of the Express Mail label showing the "date in" and be directed to the Office of Petitions.
- ☒ A copy of the Express Mail label was not enclosed with your request. Petitions under 37 CFR 1.10 require a copy of the Express Mail Label to be submitted.
- ☐ The "date in" on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- ☐ Applications submitted with a certificate of mailing under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office. A request to review the filing date accorded to the application must be in the form of a petition.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt. A request to review the filing date accorded to the application must be in the form of a petition.

1/10 → LSS

A petition under 37 CFR 1.10, 37 CFR 1.53 or 37 CFR 1.182 is needed to request a change to the filing date. A \$130 petition fee is required for a petition under 1.53 or 1.182. If you choose to file a petition, the petition and the \$130 petition fee, if any, should be directed to:

Box DAC
Commissioner for Patents
Washington, DC 20231



Customer Service Center
Initial Patent Examination Division
(703) 308-1202